

QUICK TIPS #03

Previously Unsubmitted Transaction(s) Must Be Submitted or Deleted: Error 01037108

Error 01037108 occurs when an earlier transaction remains unsubmitted and must be resolved before a subsequent transaction can be processed. Review the policy's transaction history to identify and resolve any earlier unsubmitted transactions before resubmitting the rejected transaction.



Review

the policy's transaction history in Policy Data Manager and identify any unsubmitted transactions.



Determine

whether each unsubmitted transaction should be reported or was created in error.



Resolve

by submitting missing transactions that should be reported, or delete transactions created in error.



Resubmit

the rejected transaction once the transaction history has been corrected.

Tip: The rejected transaction is often not the transaction that needs to be corrected. Review the policy's complete transaction history and identify the earliest unsubmitted transaction. Resolving that transaction will often resolve subsequent rejections. See pages 5-6 of the Policy Data Manager User Guide for instructions on accessing a policy's complete transaction history

Why It Happens

WCEPOL S processes transaction types in a defined order. If an earlier transaction remains unsubmitted, subsequent transactions may be rejected until the earlier transaction is submitted or deleted.

Order	Code	Transaction
1	16	Binder
2	01	New
3	02	Renewal
4	04	Rerate
5	06	Key Field Change
6	15	State Change
7	08	Rating Change
8	10	Nonrating Change
9	14	Misc. Change
10	05	Cancel / Reinstate
11	03	Endorsement

Transaction Processing Order

Order values represent the processing order of transaction types within WCEPOL S.

Related Reporting Requirement:

For Transaction Code 05, report the Record Type Sequence Number on each Record 08. If multiple Record 08 transactions have the same Transaction Issue Date for the same policy, assign Record Type Sequence Numbers consecutively beginning with 01.

ERROR RISKS

- Subsequent transactions may be rejected.
- Policy transaction history may be incomplete.
- Additional corrections and resubmissions may be required.
- Policy reporting may be delayed.

EXPECTED RESULTS

- Required transaction processing order is restored.
- Subsequent transactions can be processed successfully.
- Repeat rejections are reduced.
- Timely & complete policy reporting is supported.